CERTIFICATE OF MAIL (37 CFR 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, PC 20231 on March 7, 2002.

P E gued:

Kari Bateman

Duicmun

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In a application of:

Serial No. 10/029,158

Filed: December 21, 2001

Title: Apparatus and Method for Chemical

Mechanical Polishing of Substrates

Examiner: Not Yet Assigned

Art Unit: 3723

INFORMATION DISCLOSURE

STATEMENT TRANSMITTAL

Date: March 7, 2002

HAR 20 2002

Assistant Commissioner for Patents Washington, DC 20231

Transmitted herewith is:

- [x] an Information Disclosure Statement;
- [x] PTO 08A & 08B;
- [x] Copies of nine (9) references listed on the PTO 08A and 08B form;
- [x] Copy of the International Search Report dated October 25, 2001;
- [x] This Information Disclosure Statement is filed under 37 C.F.R. 1.97(b)(3); Accordingly, no fee is required.
- [x] The Commissioner is hereby authorized to charge any underpayment of the fees to Deposit Account No. 06-1300 (A-69175-1/MSS).

Respectfully submitted,

FLEHR HOHBACH TEST ALBRITTON & HERBERT LLP

Maria/S. Swiatek, Reg. No. 37,244

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A-69175-1/MSS

(1040136)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Suh, et al.

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Signed:

Kari Bateman

INFORMATION DISCLOSURE STATEMENT

Box DD Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

In accordance with 37 C.F.R. §1.56, this Information Disclosure Statement is being submitted for the previously filed application identified above. The disclosure contained herein is not intended to constitute an admission that any patent, publication, or other information referred to is "prior art" for this invention. In accordance with 37 C.F.R. §1.97 (b), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined by 37 C.F.R. §1.56 exists.

Each item of information contained in this information disclosure statement was cited in a communication from the PCT U.S. Receiving Office dated October 25, 2001 in the corresponding Patent Cooperation Treaty application. A copy of the search report is enclosed.

This information disclosure statement is being filed prior to the mailing of the first Office Action accordingly, no fee should be required. However, the Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 06-1300 (Order No. A-69175-1/MSS).

Respectfully submitted,

Maria S. Swiatek, Reg. No. 37,244

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